PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 7: A61L 27/22, 27/56, 27/46, A61K 38/18 (11) International Publication Number:

WO 00/45871

(43) International Publication Date:

10 August 2000 (10.08.00)

(21) International Application Number:

PCT/US00/03043

A1

(22) International Filing Date:

4 February 2000 (04.02.00)

(30) Priority Data:

60/118,615

4 February 1999 (04.02.99)

US

(71) Applicant (for all designated States except US): SDGI HOLD-INGS, INC. [US/US]; Suite 508, 300 Delaware Avenue, Wilmington, DE 19801 (US).

(72) Inventor; and

(75) Inventor/Applicant (for US only): MCKAY, William, F. [US/US]; 3870 McElrie Cove, Memphis, TN 38133 (US).

(74) Agents: GANDY, Kenneth, A. et al.; Woodard, Emhardt, Naughton, Moriarty & McNett, Bank One Center/Tower, Suite 3700, 111 Monument Circle, Indianapolis, IN 46204 BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC,-NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

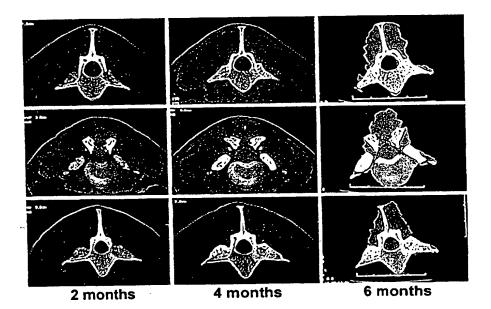
(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG,

Published

With international search report.

Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(54) Title: HIGHLY-MINERALIZED OSTEOGENIC SPONGE COMPOSITIONS, AND USES THEREOF



(57) Abstract

Osteogenic sponge compositions having enhanced osteoinductive properties for use in bone repair are described. The compositions include a quickly resorbable porous carrier, a more slowly resorbed mineral scaffold and an osteogenic factor, preferably a bone morphogenetic protein. The compositions enable increased osteoinductive activity while retaining a reliable scaffold for the formation of new bone at an implant site. Methods for therapeutic use of the compositions are also described.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AÜ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
AZ BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
	Boshia and rietzegovina Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BB		GN	Guinea	MK	The former Yugoslav	TM	Turkmenistan
BE	Belgium Burkina Faso	GR	Greece	•	Republic of Macedonia	TR	Turkey
BF		HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BG	Bulgaria	IE	Ireland	MN	Mongolia	UA	Ukraine
BJ	Benin	IL.	Israel	MR	Mauritania	UG	Uganda
BR	Brazil	IS	Iceland	MW	Malawi	US	United States of America
BY	Belarus	IT		MX	Mexico	UZ	Uzbekistan
CA	Canada		Italy	NE NE	Niger	VN	Viet Nam
CF	Central African Republic	JP	Japan	NL	Netherlands	YU	Yugoslavia
CG	Congo	KE	Kenya	NO NO	Norway	zw	Zimbabwe
CH	Switzerland	KG	Kyrgyzstan		New Zealand	2,,,	2111020 110
CI	Côte d'Ivoire	KP	Democratic People's	NZ	• • • • • • • • • • • • • • • • • • • •		
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	u	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

- 1 -

HIGHLY-MINERALIZED OSTEOGENIC SPONGE COMPOSITIONS, AND USES THEREOF

5

10

REFERENCE TO RELATED APPLICATION

This application claims the benefit of U.S. Patent Application Serial No. 60/118,615 filed February 4, 1999, which is hereby incorporated by reference in its entirety.

FIELD OF THE INVENTION

15

The present invention relates generally to osteogenic compositions. Specifically, the present invention relates to an osteogenic sponge composition effective for the induction of new bone growth in animals.

20

BACKGROUND OF THE INVENTION

p o ii

Bone grafting has been commonly used to augment healing in the treatment of a broad range of musculoskeletal disorders. This procedure has several disadvantages. If the bone material is obtained from donors of the same species, such as an allograft, an increased risk of disease transmission and immune reaction exists. Bone material surgically removed from the patient, known as an autograft, is also undesirable because a sufficient amount of autogenous bone may not be available and the additional surgery necessary to obtain the autograft increases the risk of infection.

30

25

Due to the need for safer bone graft materials, efforts have been directed to finding bone graft substitutes. Candidate compositions include collagen and a bioceramic, such as hydroxyapatite, as these

10

15

components are the chief structural materials in bone tissue. Bioceramics provide a porous matrix which encourages some new bone growth but, when used in powdered form, give rise to foreign body-giant cell reactions. Other compositions include demineralized bone powder and collagen. The osteogenic potential of these compositions have been found to be less than satisfactory.

The discovery of osteogenic factors and their application to bone graft substitute compositions has increased the effectiveness of the above-mentioned compositions. Although many preparations purport to be effective in bone repair in vertebrates, including higher animals such as primates, most of the experimentation done with the compositions have involved lower animals, such as mice and rats.

In light of this background, there remains a need for improved osteogenic compositions and methods that effectively induce bone growth in higher animals, including primates.

10

15

20

25

30

SUMMARY OF THE INVENTION

The invention provides in one preferred embodiment an osteogenic sponge composition useful for the induction of new bone This composition includes a resorbable growth in a mammal. sponge matrix material and an osteogenic factor, preferably one that preferably stimulates osteoblasts and osteoclasts, said osteogenic factor incorporated in the sponge matrix material. The sponge matrix material is desirably a threedimensionally stable yet flexible material, facilitating its use as an The osteogenic factor is usually incorporated in an amount that causes an increased rate of resorption of said sponge matrix material in a mammal. The composition also includes a particulate mineral having an average particle diameter of at least about 0.5 mm embedded in the resorbable sponge matrix material, wherein the particulate mineral present in a weight ratio of at least 4:1 relative to the resorbable sponge matrix material so as to provide a scaffold for bone ingrowth in the presence of the More preferred compositions are even more osteogenic factor. highly mineralized, for example wherein the particulate mineral is present in a weight ratio of at least about 10:1 relative to the The particulate mineral is resorbable sponge matrix material. desirably formed of a synthetic calcium phosphate ceramic or of The osteogenic factor is most bone, especially cortical bone. preferably BMP-2 or LMP, or comprises a nucleotide sequence encoding BMP-2 or LMP.

Another embodiment of the present invention provides a method for inducing bone growth in a primate. The method includes a first step of providing an osteogenic sponge composition having a resorbable sponge matrix material and an osteogenic factor that stimulates osteoblasts and osteoclasts incorporated in the sponge matrix material in an amount that causes an increased rate of resorption of the sponge matrix material in the primate. Particulate mineral having an average particle diameter of at least about 0.5 mm is embedded in said resorbable sponge matrix material and present in a weight ratio of at least 4:1 relative to the resorbable sponge matrix material, so as to provide a scaffold for bone ingrowth in the presence of the osteogenic factor. This osteogenic sponge composition is implanted in the primate in a void in which bone growth is desired, with the osteogenic sponge composition providing a scaffold for a duration sufficient for osteoid ingrowth through the void. Particularly preferred methods involve bone ingrowth to attain spinal fusions in humans.

15

10

Another preferred embodiment of the invention provides an osteogenic sponge composition effective for the induction of new bone growth in a mammal (especially a primate) that includes

a carrier consisting essentially of a resorbable sponge matrix with particulate mineral embedded in the resorbable sponge matrix, wherein the particulate mineral is present in an amount constituting at least about 95% by weight of the carrier. An osteogenic factor that stimulates osteoblasts and osteoclasts is incorporated in said carrier.

25

30

20

A still further aspect of the invention provides a highly mineralized sponge implant device consisting essentially of a resorbable sponge matrix formed of collagen and having particulate biocompatible mineral embedded within said matrix. In this embodiment, the device is comprised 1% to 3% by weight of the

15

20

25

collagen and 97% to 99% by weight of the particulate biocompatible mineral. In another inventive feature, an osteogenic factor can be incorporated in such an implant.

A further embodiment of the invention provides an interbody spinal fusion device that includes a load bearing member sized for insertion between adjacent vertebrae and any one of the aforementioned compositions retained by the load bearing member. Such fusion devices can be used in inventive interbody spinal fusion methods mammals, wherein the devices are appropriately implanted to facilitate spinal fusion.

A particular feature of the present invention relates to the discovery that the inclusion of an osteogenic factor, especially an osteoblast- and osteoclast-stimulating osteogenic factor, in a resorbable sponge composition causes a substantially accelerated resorption of the sponge. This rapid resorption can diminish or eliminate the capacity of the sponge composition to effectively stimulate and support new bone formation in a void filled with the sponge composition. This is particularly the case in primates, including humans, in which the rate of new bone formation is relatively slow. Objects of the present invention are to provide osteogenic sponge compositions effective for the induction of bone growth in mammals, particularly primates, including humans, and These and other objects and related methods and devices. advantages of the present invention will become apparent upon reading the descriptions herein.

- 6 -

BRIEF DESCRIPTION OF THE FIGURES

FIGs. 1 and 2 depict a digitized images of computerized tomography (CT) scans of an L4-L5 posterolateral spinal fusions performed on rhesus monkeys as described in Example 5 (top panels, section through superior transverse processes; middle panels, section through disc space; lower panels, section through inferior transverse processes).

15

20

25

30

DESCRIPTION OF THE PREFERRED EMBODIMENTS

For the purposes of promoting an understanding of the principles of the invention, reference will now be made to preferred embodiments and specific language will be used to describe the same. It will nevertheless be understood that no limitation of the scope of the invention is thereby intended, such alterations and further modifications of the invention, and such further applications of the principles of the invention as illustrated herein, being contemplated as would normally occur to one skilled in the art to which the invention relates.

As described above, the invention relates in certain aspects to osteogenic sponge compositions effective for the induction of new bone growth in mammals and methods for inducing bone growth in mammals. The present invention features osteogenic sponge compositions effective for use in primates, wherein the compositions exhibit high osteoinductive potential and provide a lasting mineral scaffold to support bone ingrowth. Such preferred compositions include a porous, resorbable sponge carrier, such as collagen in sponge form, and an osteogenic factor that stimulates the action of both osteoblasts (which biologically facilitate the formation of bone) and osteoclasts (which biologically facilitate the resorption of bone). In accordance with the present invention, it has been found that the incorporation of an effective inductive amount of an osteogenic factor, such as a bone morphogenetic protein (BMP), stimulates osteoclasts to such a level that a porous resorbable carrier is quickly resorbed and, in the absence of a high mineral component in the composition, causes the performance of the composition to suffer in some cases to the extent that the observation of substantial bone

10

15

20

25

30

ingrowth is sporadic. Although such non-mineralized sponge compositions may be highly effective for repair of bone defects in lower animals, such as mice, that have a faster bone growth rate, they are less effective in large animals such as primates, including humans.

Accordingly, a feature of the present invention is the provision of an osteogenic composition in the form of a sponge that includes a substantial amount of a relatively slowly-resorbed mineral component that remains at the implant site after the carrier has been rapidly resorbed, in order to provide a scaffold for new bone formation that is not prematurely resorbed due to the osteoclastic potentiation by the bone morphogenic protein in the composition. The present invention also provides methods for using such osteogenic compositions in treatment of bone trauma, disease and defects, for artificial arthrodeses and for other treatment where new bone formation is desired, especially in primates, including humans.

The sponge matrix material is preferably collagenous. A wide variety of collagen materials are suitable for the sponge matrix. Naturally occurring collagens may be subclassified into several different types depending on their amino acid sequence, carbohydrate content and presence or absence of disulfide crosslinks. Types I and III collagen are two of the most common subtypes of collagen. Type I collagen is present in skin, tendon and bone whereas Type III collagen is found primarily in skin. The collagen in the composition may be obtained from skin, bone, tendon, or cartilage and purified by methods known in the art. Alternatively, the collagen may be purchased commercially. The collagen in the composition is preferably Type I bovine collagen.

The collagen carrier can further be atelopeptide collagen and/or telopeptide collagen. Moreover, both non-fibrillar and fibrillar collagen may be used. Non-fibrillar collagen is collagen that has been solubilized and has not been reconstituted into its native fibrillar form.

The sponge carrier may also be formed of other natural or synthetic polymeric materials, in addition to or as an alternative to collagen. For example, the sponge carrier may be formed of gelatin (e.g. foamed gelatin), in addition collagen or as an alternative to collagen. Other natural and synthetic polymers are also known for the formation of biocompatible sponge materials, and can be used herein.

15

20

25

30

10

5

As indicated above, preferred compositions of the invention also include an osteoinductive factor, such as an osteoinductive protein or a nucleotide sequence encoding an osteoinductive protein operably associated with a promoter (e.g. provided in a vector such as a viral vector) which drives expression of the gene in the animal recipient to produce an effective amount of the protein. The osteogenic factor utilized in the present invention can be one that stimulates production or activity The factor is preferably a bone of osteoblasts and osteoclasts. morphogenetic protein (BMP) or a LIM mineralization protein (LMP), or comprises a nucleotide sequence encoding a BMP or LMP. Recombinant human BMPs are preferred, and may be commercially obtained or prepared as described and known in the art, e.g. in U.S. Patent Nos. 5,187,076 to Wozney et al.; 5,366,875 to Wozney et al.; 4,877,864 to Wang et al.; 5,108,932 to Wang et al.; 5,116,738 to Wang et al.; 5,013,649 to Wang et al.; 5,106,748 to Wozney et al; and PCT Patent Nos.

10

15

20

25

30

WO93/00432 to Wozney et al.; WO94/2693 to Celeste et al.; and WO94/26892 to Celeste et al. Further, the osteoinductive factor may be isolated from bone. Methods for isolating BMP from bone are described in U.S. Patent no. 4,294,753 to Urist and Urist et al., PNAS 371, 1984. Recombinant human BMP-2 (rhBMP-2), recombinant human BMP-4 (rhBMP-4), recombinant human BMP-7 (rhBMP-7) or heterodimers thereof are most preferred. The osteoinductive factor may also be LIM mineralization protein (LMP) or a suitable vector incorporating a gene encoding the same operably associated with a promotor, as described in WO99/06563 (see also genbank accession No. AF095585). When such vectors are employed as osteogenic factors in accordance with the invention, they are preferably delivered in conjunction with cells, for example autologous cells from the recipient of the implant. preferably the vector is delivered in conjunction with autologous white blood cells derived from bone marrow or peripheral blood of the recipient. These cells may be applied to the sponge composition along with the osteogenic factor prior to implantation.

The particulate mineral component includes a natural or synthetic mineral that is effective in providing a scaffold for bone ingrowth as the resorbable carrier is resorbed. The mineral may be, for example, bone, especially cortical bone, or a synthetic bioceramic such as a biocompatible calcium phosphate ceramic. Illustrative ceramics include tricalcium phosphate, hydroxyapatite, and biphasic calcium phosphate. These mineral components may be purchased commercially or obtained or synthesized by methods known in the art.

Biphasic calcium phosphate is a particularly preferred synthetic ceramic for use in the invention. Desirably, such biphasic

calcium phosphate with have a tricalcium phosphate:hydroxyapatite weight ratio of about 50:50 to about 95:5, more preferably about 70:30 to about 95:5, even more preferably about 80:20 to about 90:10, and most preferably about 85:15.

5

10

15

20

In general, the amount of mineral in the osteogenic sponge composition must be sufficient to provide a scaffold that will remain in the patient for a period of time sufficient for the formation of osteoid in the void for which bone growth is desired. Typically, this period of time will be about 6 to about 8 weeks. The minimum level of mineral that must be present in the composition is also dependent on the activity of the BMP in the composition; the higher the activity of the BMP, the greater the content of the mineral matrix required to counter the osteoclastic potentiation of the BMP. The rate of resorption of the resorbable carrier also increases as the BMP concentration increases.

In preferred aspects of the invention, the particulate mineral:resorbable sponge matrix weight ratio will be at least about 4:1, more preferably at least about 10:1. In particularly preferred sponge implants, the particulate mineral will constitute at least 95% by weight of the sponge implant. For example, highly effective sponge carrier devices are provided wherein they comprise about 97% to about 99% by weight particulate mineral and about 1% to about 3% of the collagen or other sponge-forming matrix material. Moreover, it is preferred that the mineral component have an average particle size of at least about 0.5 mm, more preferably about 0.5 mm to about 5 mm, and most preferably about 1 mm to about 3 mm.

10

To make the sponge implant, a collagen slurry may be formed as known and preferably is chilled to increase its viscosity to help suspend the porous particulate mineral component. The porous particulate mineral is dispersed into the collagen slurry and gently mixed. After the porous particulate mineral component is uniformly dispersed in the slurry, the slurry is poured into sterile trays or other forms and freeze dried. The sheets of composite sponge are then removed from the freeze drier and exposed to a gluteraldehyde cross-linking agent. The composite sponge formed is generally three-dimensionally stable and can be sterilized and packaged in accordance with known procedures.

The dimensions of the sponge produced may vary depending on the application. Dimensions of a typical sponge are, for example, about 10 cm (length) x 7.5 cm (width) x 0.35 cm (height).

As one example, BMP or other osteogenic factors may be included in the formed sponge by combining the BMP with a liquid carrier as known in the art and infusing the liquid into the sponge.

20

25

30

15

As further enhancements of the compositions of the present invention, those skilled in the art will readily appreciate that other osteogenic enhancing factors may be incorporated into the composition. Such additional factors include host compatible osteogenic progenitor cells, autographic bone marrow, allographic bone marrow, transforming growth factor- β , fibroblast growth factor, platelet-derived growth factor, insulin-like growth factor, microglobulin- β , antibiotics and steroids.

In yet another aspect of the invention, methods for inducing bone

growth in mammals are provided. The methods include providing the above-described osteogenic sponge composition and implanting the composition at a site at which bone growth is desired, e.g., to treat a disease, defect or location of trauma, and/or to promote artificial arthrodesis. The hydrated sponge composition may be rolled up prior to packing the sponge into the implantation site.

Once in place, the osteogenic sponge composition will effectively induce and support ingrowth of bone into the desired area even in a primate such as a human that exhibits a relatively slow rate of bone formation compared to smaller mammals, such as rodents or rabbits. Although the collagen carrier is resorbed relatively quickly, the substantial mineral component remains as a scaffolding to support new bone growth in and through the desired area.

15

20

25

30

10

The above osteogenic sponge compositions of the present invention are especially advantageous when used in bones or bone portions that exhibit only low to moderate vascularization. Such low to moderate vascularized regions exhibit low rates of bone formation so rapid resorption of a carrier poses a problem. Examples of low to moderate vascularized sites include, for example, transverse processes or other posterior elements of the spine.

An especially preferred use of the sponge compositions of the present invention is as an implant to promote arthrodesis between vertebrae in spinal fusions in humans or other primates, including interbody, posterior and/or posterolateral fusion techniques. Although the rate of bone formation in the primate spine is relatively slow overall and thus will benefit generally from the present invention, the elements to be fused in posterior and

10

15

20

25

posterolateral fusions exhibit particularly low levels of vascularization and thus fusions of these elements are expected to benefit markedly from the invention.

Moreover, the osteogenic sponge compositions can be incorporated with a load-bearing member used in a spinal fusion, including hollow spinal cages, dowels or other devices known in the art having a pocket, chamber or other mechanism for retaining the osteogenic sponge composition. The load-bearing member desirably will have a compressive strength of at least about 10,000 N. Suitable such load bearing members are described, for example in U.S. Patent Nos. 5522899, 5785710, 5776199 and 5814084, each of which is hereby incorporated by reference in its entirety.

Reference will now be made to specific examples using the processes described above. It is to be understood that the examples are illustrative and not limiting of the invention.

EXAMPLE 1 PREPARATION OF COLLAGEN SPONGE/ BONE PARTICLE COMPOSITE

12 grams of deproteinized cortical bone chips, 1-3 mm in size, were added to 12 grams of collagen slurry (0.192 grams of collagen). This composite slurry was poured into a 7.5 cm x 10.0 cm mold, freeze dried, double sterile packaged, and sterilized by ETO gas sterilization.

- 15 -

EXAMPLE 2 PREPARATION OF COLLAGEN SPONGE/ SYNTHETIC CERAMIC COMPOSITE

5

12 grams of biphasic calcium phosphate particles, 1 mm in diameter, were added to 12 grams of collagen slurry (0.192 grams of collagen). This composite slurry was poured into a $7.5 \text{ cm} \times 10.0 \text{ cm}$ mold, freeze dried, double sterile packaged, and sterilized by ETO gas sterilization.

EXAMPLE 3 PREPARATION OF COLLAGEN SPONGE/ BONE PARTICLE COMPOSITE

15

10

12 grams of deproteinized cortical bone chips, 1-3 mm in size, were added to 24 grams of collagen slurry (0.192 grams of collagen). This composite slurry was poured into a 7.5 cm x 10.0 cm mold, freeze dried, double sterile packaged, and sterilized by ETO gas sterilization.

EXAMPLE 4 PREPARATION OF COLLAGEN SPONGE/ SYNTHETIC CERAMIC COMPOSITE

25

30

20

12 grams of biphasic calcium phosphate particles, 1 mm in diameter, were added to 24 grams of collagen slurry (0.192 grams of collagen). This composite slurry was poured into a 7.5 cm x 10.0 cm mold, freeze dried, double sterile packaged, and sterilized by ETO gas sterilization.

EXAMPLE 5

L4-L5 POSTEROLATERAL INTERTRANSVERSE PROCESS SPINAL FUSION STUDY

5

10

15

20

25

30

The present study was performed to determine the effect of the osteogenic sponge compositions of the present invention on spinal fusion.

The experimental group included two adult rhesus monkeys (Macaca mulatta). The monkeys were anesthetized with 3-5 mg/kg telazol intramuscularly (i.m.). The anesthesia was maintained with 1.5-2.0% isoflurane. After anesthesia was achieved, animals were shaved, prepared with betadine and sterily draped. The surgical site was infiltrated with 10-15 ml of 0.25% marcaine to aid with immediate postoperative analgesia. A midlineposterior skin incision was made over the lumbar spine. The paraspinal muscles were reflected using elevators, exposing the lamina and the transverse processes of the L4 and L5 vertebral bodies. The transverse processes of the two vertebrae to be fused were decorticated with an electric burr.

Composite sponges, having dimension of 3.5 cm x 1.4 cm x 0.35 cm, were prepared using techniques as described in Examples 1 and 2. The sponges included, on a weight basis, 97% biphasic calcium phosphate (15% hydroxyapatite and 85% tricalcium phosphate, 1 mm particle size) and 3% collagen. Recombinant human BMP-2 (rhBMP-2) was prepared at a concentration of 3.0 mg/ml in a buffered solution. Each sponge was infused with 1.5 ml of the rhBMP-2 solution.

The sponges were placed in the paraspinal bed directly on top of and bridging the two adjacent transverse processes. The sponges

10

15

were placed bilaterally, with two sponges (one on top of the other) on each side of the spine, resulting in a total dose of 9 mg rhBMP-2 per implant site. The animals were allowed to recover and move around ad libitum without restrictions during the study period.

The spines were manually assessed for fusion upon sacrifice (2, 4 and 6 months) and determined to be fused based upon the absence of motion during attempted bending, and presence of histological bridging bone.

The fusions were also evaluated by CT scan at 2, 4 and 6 months after implantation. FIGS. 1 and 2 show the CT scans for each subject studied. FIGS. 1 and 2 demonstrate the sequence of events that occur within the composite sponge carrier loaded with rhBMP-2. On the far left of the figures are three CT sections equally spaced throughout the fusion mass at 2 months post-operative, showing that resorption of the composite sponge is just about complete due to the lack of radiopacity of the ceramic granules. The three middle CT sections show these same three CT sections at four months with increased bone deposition where the carrier once resided. The composite sponge has maintained the space within the soft tissue site for a sufficient enough period of time for the desired volume of new bone deposition to occur. Finally, the far right three CT scans show even further bone deposition, remodeling and maturation with the formation of outer cortices around the periphery of the fusion masses by six months.

WO 00/45871 PCT/US00/03043

- 18 -

What is claimed is:

1. An osteogenic sponge composition useful for the induction of new bone growth in a mammal, comprising:

a resorbable sponge matrix material;

an osteogenic factor, said osteogenic factor incorporated in said sponge matrix material in an amount that causes an increased rate of resorption of said sponge matrix material in a mammal; and

particulate mineral having an average particle diameter of at least about 0.5 mm embedded in said resorbable sponge matrix material, said particulate mineral present in a weight ratio of at least 4:1 relative to said resorbable sponge matrix material, so as to provide a scaffold for bone ingrowth in the presence of said osteogenic factor.

15

5

10

- 2. The osteogenic sponge composition of claim 1, wherein said particulate mineral is present in a weight ratio of at least about 10:1 relative to said resorbable sponge matrix material.
- 3. The osteogenic sponge composition of claim 1, wherein said osteogenic factor comprises a bone morphogenetic protein, a LIM mineralization protein, or a nucleotide sequence encoding a bone morphogenetic protein or a LIM mineralization protein.
 - 4. The osteogenic sponge composition of claim 1, wherein said resorbable sponge matrix material includes collagen.
 - 5. The osteogenic sponge composition of claim 3, wherein said resorbable sponge matrix material includes collagen.

- 6. The osteogenic sponge composition of claim 1, wherein said particulate mineral is selected from the group consisting of bone particles and biocompatible synthetic calcium phosphate ceramics.
- 7. The osteogenic sponge composition of claim 6, wherein said particulate mineral comprises biphasic calcium phosphate.
 - 8. The osteogenic sponge composition of claim 7, wherein said biphasic calcium phosphate has a porosity of at least about 50%.
 - 9. The osteogenic sponge composition of claim 8, wherein said particulate mineral includes bone particles.
- 10. The osteogenic sponge composition of claim 9, wherein said bone particles are cortical bone particles.
 - 11. The osteogenic sponge composition of claim 1, which is comprised at least about 95% by weight of said particulate mineral.
- 12. The osteogenic sponge composition of claim 1, wherein said particulate mineral has an average particle size in the range of about 0.5 mm to about 5.0 mm.
- 13. The osteogenic sponge composition of claim 1, wherein said porous particulate mineral has an average particle size in the range of about 1 to about 2 mm.
 - 14. The osteogenic sponge composition of claim 1, wherein said osteogenic factor is a bone morphogenetic protein.

15

20

25

- 15. The osteogenic sponge composition of claim 14, wherein said bone morphogenetic protein is a recombinant human protein.
- 16. The osteogenic sponge composition of claim 15, wherein said bone morphogenetic protein is BMP-2 or BMP-7.
 - 17. The osteogenic sponge composition of claim 16, further comprising an osteogenic enhancing factor selected from the group consisting of autographic bone marrow, allographic bone marrow, transforming growth factor- β , fibroblast growth factor, platelet-derived growth factor, insulin-like growth factor, microglobulin- β , and steroids.
 - 18. An osteogenic sponge composition effective for the induction of new bone growth in a primate, comprising:

a resorbable sponge matrix material;

an osteogenic factor that stimulates osteoblasts and osteoclasts, said osteogenic factor incorporated in said sponge matrix material in an amount that causes an increased rate of resorption of said sponge matrix material in the primate; and

particulate mineral having an average particle diameter of at least about 0.5 mm embedded in said resorbable sponge matrix material, said particulate mineral present in a weight ratio of at least 4:1 relative to said resorbable sponge matrix material, so as to provide a mineral scaffold for a duration sufficient for osteoid ingrowth through an area in which said sponge composition is implanted.

19. The sponge composition of claim 18 wherein the primate is a human.

10

15

20

- 20. A method for inducing bone growth in a primate, comprising:
 - (a) providing an osteogenic sponge composition comprising: a resorbable sponge matrix material;

an osteogenic factor that stimulates osteoblasts and osteoclasts, said osteogenic factor incorporated in said sponge matrix material in an amount that causes an increased rate of resorption of said sponge matrix material in the primate; and

particulate mineral having an average particle diameter of at least about 0.5 mm embedded in said resorbable sponge matrix material, said particulate mineral present in a weight ratio of at least 4:1 relative to said resorbable sponge matrix material, so as to provide a scaffold for bone ingrowth in the presence of said ostegenic factor; and

- (b) implanting said osteogenic sponge composition in an area in which bone growth is desired in the primate, said osteogenic sponge composition providing a scaffold for a duration sufficient for osteoid ingrowth through an area in which said osteogenic sponge composition is implanted.
- 21. The method of claim 20, wherein said particulate mineral is present in a weight ratio of at least 10:1 relative to said resorbable sponge matrix material.
- 22. The method of claim 21, wherein said osteogenic factor comprises a bone morphogenetic protein, a LIM mineralization protein, or a nucleotide sequence encoding a bone morphogenetic protein or LIM mineralization protein.

WO 00/45871 PCT/US00/03043

- 22 -

- 23. The method of claim 20, wherein said resorbable sponge matrix material includes collagen.
- 24. The method of claim 22, wherein said resorbable sponge matrix material includes collagen.
 - 25. The method of claim 20, wherein said particulate mineral is selected from the group consisting bone, a synthetic biocompatible calcium phosphate ceramic, or a mixture thereof.

10

- 26. The method of claim 25, wherein said porous particulate mineral comprises biphasic calcium phosphate.
- 27. The method of claim 26, wherein said biphasic calcium phosphate has a porosity of at least about 50%.
 - 28. The method of claim 20, wherein said particulate mineral includes bone particles.
- 29. The method of claim 28, wherein said bone particles are cortical bone particles.
 - 30. The method of claim 20, wherein said osteoinductive sponge composition is comprised at least about 95% by weight of said particulate mineral.
 - 31. The method of claim 20, wherein said particulate mineral has an average particle size of about 0.5 mm to about 5.0 mm.

- 32. The method of claim 20, wherein said porous particulate mineral has an average particle size of about 1 to about 2 mm.
- 33. The method of claim 20, wherein said osteogenic factor is a bone morphogenetic protein.
 - 34. The method of claim 33, wherein said bone morphogenetic protein is a recombinant human protein.
- 35. The method of claim 33, wherein said bone morphogenetic protein is BMP-2 or BMP-7.
 - 36. The method of claim 20, wherein the primate is a human.
- 15 37. The method of claim 20, wherein the area is in the spine of said primate.
 - 38. The method of claim 37, wherein the bone growth is induced to attain a spinal fusion.
 - 39. The method of claim 38, wherein the spinal fusion is an interbody spinal fusion.
- 40. The method of claim 38, wherein the spinal fusion is a posterolateral spinal fusion.
 - 41. The method of claim 38, wherein the spinal fusion includes a fusion between transverse processes of adjacent vertebrae.

42. An osteogenic sponge composition for the induction of new bone growth in a primate, comprising:

a carrier consisting essentially of a resorbable sponge matrix with particulate mineral embedded in said resorbable sponge matrix, said particulate mineral present in an amount constituting at least about 95% by weight of said carrier; and

an osteogenic factor.

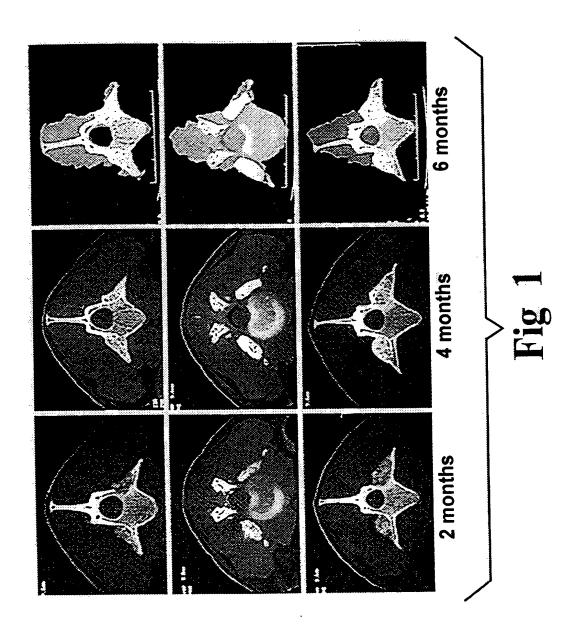
- 43. A highly mineralized sponge implant device consisting essentially of a resorbable sponge matrix formed of collagen and having particulate biocompatible mineral embedded within said matrix, said device comprised 1% to 3% by weight of the collagen and 97% to 99% by weight of the particulate biocompatible mineral.
- 15 44. The device of claim 42 wherein the particulate biocompatible mineral comprises bone particles.
 - 45. The device of claim 42 wherein the particulate biocompatible mineral includes a synthetic ceramic.

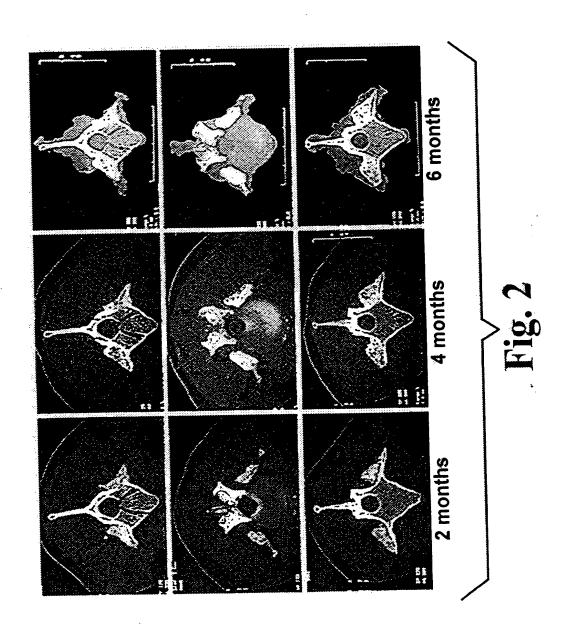
20

5

- 46. The device of claim 44 wherein the ceramic material includes a calcium phosphate ceramic.
- 47. The device of claim 45 wherein the calcium phosphate ceramic is biphasic calcium phosphate.

- 48. An osteogenic implant, comprising:
- a resorbable matrix carrier comprised 1% to 3% by weight of collagen in sponge form and 97% to 99% by weight of a particulate biocompatible mineral embedded within said collagen; and an osteogenic factor.
 - 49. An interbody spinal fusion device, comprising:
- a load bearing member sized for insertion between adjacent vertebrae; and
 - a composition according to any of claims 1-19 and 42-48 retained by said load bearing member.
- 50. A method for interbody spinal fusion in a mammal, comprising implanting between adjacent vertebrae in the mammal an interbody spinal fusion device according to claim 49.





ir. ational Application No PCT/US 00/03043

A CLASSI IPC 7	FICATION OF SUBJECT MATTER A61L27/22 A61L27/56 A61L27/4	6 A61K38/18	
According to	International Patent Classification (IPC) or to both national classific	ation and IPC	
	SEARCHED Currentation searched (classification system tollowed by classification)		
IPC 7		on symbols)	
·			
Documentat	tion searched other than minimum documentation to the extent that a	such documents are included in the fields se	arched
Electronic o	ata base consulted during the international search (name of data ba	se and, where practical, search terms used	•
C. DOCUM	ENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the re-	evant passages	Relevant to claim No.
X	EP 0 309 241 A (COLLAGEN CORP)		1-50
	29 March 1989 (1989-03-29) claims; examples		•
	Ciaims, examples		
X	WO 97 31661 A (LINDHOLM T SAM ;M		1-50
	AULIS (FI)) 4 September 1997 (199 claims; examples	9/-09-04/	
X	US E OOI 160 A (NATUAN BANGA ET	A.I. \	1 50
^	US 5 001 169 A (NATHAN RANGA ET 19 March 1991 (1991–03–19)	AL)	1–50
	column 6, line 17 - line 68		
1	column 7, line 1 - line 17; clair	ms	
X	WO 96 39203 A (BIOCOLL LAB INC)		1-50
	12 December 1996 (1996-12-12)		
		-/	
ļ			
X Furt	ther documents are listed in the continuation of box C.	X Patent family members are listed	in annex.
Special co	stegories of cited documents :	"T" later document published after the inte	ametional filing data
"A" docum	ent defining the general state of the art which is not dered to be of particular relevance	or priority date and not in conflict with cited to understand the principle or th	the application but
	document but published on or after the international	invention "X" document of particular relevance; the o	claimed invention
"L" docume	ent which may throw doubts on priority claim(s) or is cited to establish the publication date of another	cannot be considered novel or cannot involve an inventive step when the do	current is taken alone
citatio	on or other special reason (as specified) nent referring to an oral disclosure, use, exhibition or	"Y" document of particular relevance; the cannot be considered to involve an in document is combined with one or me	ventive step when the
other	means ent published prior to the international filing date but	ments, such combination being obvio in the art.	
later t	than the priority date claimed	*&* document member of the same petent	
Lustre of the	actual completion of the international search	Date of mailing of the International se	arch report
2	22 May 2000	07/06/2000	
Name and	mailing address of the ISA European Patent Office, P.B. 5818 Patentiaan 2	Authorized officer	
	NL – 2280 HV Rijswijk Tel. (+31–70) 340–2040, Tx. 31 651 epo ni,	FCDTNOC4 **	
1	Fax: (+31-70) 340-3016	ESPINOSA, M	

In stional Application No PCT/US 00/03043

Category *	ation) DOCUMENTS CONSIDERED TO BE RELEVANT Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
-Eegory -		
(WO 97 40137 A (MUSCHLER GEORGE F ;OSIRIS THERAPEUTICS INC (US); BRUDER SCOTT P (U) 30 October 1997 (1997–10–30) claims	1-50
(WO 98 17330 A (SDGI HOLDINGS INC ;MCKAY WILLIAM F (US)) 30 April 1998 (1998-04-30) claims	1-50
(WO 89 04646 A (JEFFERIES STEVEN R) 1 June 1989 (1989-06-01) claims	1-50
A	EP 0 530 804 A (SHAW ROBERT F) 10 March 1993 (1993-03-10) claims	1-50
A	US 5 785 710 A (MICHELSON GARY KARLIN) 28 July 1998 (1998-07-28) cited in the application	
Form PC	T/ISA/210 (continuation of second sheet) (July 1992)	page 2 of 2

...ternational application No.

PCT/US 00/03043

Box i	Observations where certain claims were found unsearchable (Continuation of Item 1 of first sheet)
This Inte	ernational Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
1.	Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
2.	Claims Nos.: because they relate to parts of the international Application that do not comply with the prescribed requirements to such an extent that no meaningful international Search can be carried out, specifically:
з. 🗌	Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box ti	Observations where unity of invention is lacking (Continuation of Item 2 of first sheet)
This int	emational Searching Authority found multiple inventions in this international application, as follows:
1. [As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2.	As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3.	As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4.	No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
Rema	The additional search fees were accompanied by the applicant's protest. No protest accompanied the payment of additional search fees.

Form PCT/ISA/210 (continuation of first sheet (1)) (July 1998)

information on patent family members

tn ational Application No PCT/US 00/03043

Patent document sited in search report		Publication date		itent family nember(s)	Publication date
EP 0309241	A	29-03-1989	US	4888366 A	19-12-1989
Li 0303241	^	25 00 1505	AT	98879 T	15-01-1994
			ÄÜ	2275188 A	06-04-1989
			CA	1335177 A	11-04-1995
			DE	3886493 D	03-02-1994
			DE	3886493 T	14-04-1994
			ES	2060656 T	01-12-1994
			JP	1158964 A	22-06-1989 09-08-1993
			JP 	5053139 B	03-00-1333
WO 9731661	A	04-09-1997	AU	4721696 A	16-09-1997
			EP	0883410 A	16-12-1998
			FI	981818 A	12-10-1998
US 5001169	A	19-03-1991	US	4563350 A	07-01-1986
00 0001107	••	20 00 1001	AT	54830 T	15-08-1990
			ÂÜ	585268 B	15-06-1989
			AU	4900585 A	01-05-1986
			CA	1266613 A	13-03-1990
				3578874 D	30-08-1990
			DE		
			EP	0182483 A	28-05-1986
			JP	1855544 C	07-07-1994
			JP	5055149 B	16-08-1993
			JP	62016421 A	24-01-1987
			US	4888366 A	19-12-1989
W0 9639203	A	12-12-1996	AU	6107496 A	24-12-1996
NO JUUJEUU	••		CA	2222626 A	12-12-1996
			CN	1192700 A	09-09-1998
			EP	0851772 A	08-07-1998
WO 9740137	Α	30-10-1997	AU	2462297 A	12-11-1997
WO 3/4013/	^	30 10 1337	CA	2251983 A	30-10-1997
			EP	0906415 A	07-04-1999
		20 04 1000		400E107 A	15-05-1998
WO 9817330	A	30-04-1998	AU	4985197 A	11-08-1999
			EP	0934087 A	11-00-1333
WO 8904646	A	01-06-1989	CA	1339083 A	29-07-1997
			US	5904718 A	18-05-1999
EP 0530804		10-03-1993	US	5270300 A	14-12-1993
			AU	657888 B	23-03-1995
			AU	2541192 A	05-04-1993
			CA	2116859 A	18-03-1993
			ĬĹ	102988 A	08-02-1998
			JP	7500741 T	26-01-1995
			NO	940764 A	29-04-1994
			NZ	244060 A	27-07-1997
			WO	9304710 A	18-03-1993
				9304/10 A 9206729 A	12-03-1993
			ZA 	9200/29 A 	17-03-1333
US 5785710	A	28-07-1998	US	5593409 A	14-01-1997
			US	5741253 A	21-04-1998
			US	5015247 A	14-05-1991
			AU	716409 B	24-02-2000
			AU	4445196 A	29-08-1996
			ΑU	777JJJ N	30-04-1994

information on patent family members

tn ational Application No PCT/US 00/03043

Patent document cited in search report	Publication date	Patent family member(s)		Publication date 06-11-1996	
US 5785710 A	<u> </u>	CN 1134810 A			
03 3703710 A		EP	0732093 A	18-09-1996	
		JP	8266563 A	15-10-1996	
		TR	960846 A	21-10-1996	
		ÜŜ	5505732 A	09-04-1996	
		ÜŠ	5797909 A	25-08-1998	
		ÜS	5484437 A	16-01-1996	
		ÜS	5772661 A	30-06-1998	
		AT	169811 T	15-09-1998	
		AU	3838789 A	12-01-1990	
		CA	1332999 A	15-11-1994	
		DE	68928790 D	24-09-1998	
		DE	68928790 T	25-03-1999	
		EP	0419564 A	03-04-1991	
		ĒΡ	0712607 A	22-05-1996	
		WO.	8912431 A	28-12-1989	